

PROHIBITED PRACTICES

SPECTRA GLOBAL LTD

AN INVESTMENT DEALER (FULL-SERVICE DEALER EXCLUDING UNDERWRITING) LICENCE AND GLOBAL BUSINESS COMPANY LICENSED BY THE FSC



To maintain a fair and transparent trading environment, Spectra Global Itd. strictly prohibits unethical trading practices. These practices disrupt market integrity, exploit vulnerabilities in the trading system, and can harm the trading experience for all clients. By engaging in our platform, all clients agree to adhere to the standards outlined below. Any violation of these standards may result in penalties, including but not limited to account suspension or termination. The following practices are explicitly prohibited:

1. Scalping

Scalping refers to the rapid opening and closing of trades within seconds or minutes, primarily aimed at exploiting minor price movements. While legitimate strategies focus on long-term market trends, scalping often involves excessive and short-term trading behavior that is not in alignment with our platform's ethical trading policies. Such activity undermines the stability of the market and creates an unfair advantage over other traders.

2. Latency Arbitrage

Latency arbitrage exploits discrepancies in price feeds or delays in trade execution. This unethical practice involves taking advantage of delays between different market data sources or trading platforms to gain unfair profit. Our platform is committed to providing equal trading opportunities for all users, and latency arbitrage is strictly forbidden to preserve market fairness and integrity.

3. Platform Manipulation

Platform manipulation encompasses any activities intentionally designed to disrupt or exploit the normal functioning of the trading platform. This includes, but is not limited to:

- Placing an excessive number of trades to overload system resources.
- Using automated scripts or tools to interfere with platform operations.
- Exploiting technical glitches or vulnerabilities in the trading system.

4. Trading Integrity

Clients are required to maintain the integrity of their trading activities. Spectra Global Ltd strictly prohibits any trading practices that undermine the fairness and functionality of the platform, including but not limited to the following:

 Similar or Coordinated Trading Activity: Engaging in trading activities that closely mimic or duplicate patterns observed in other accounts without a legitimate or independent trading rationale.

5. Monitoring and Review

Spectra Global Ltd reserves the right to monitor and review trading activities to ensure compliance with its policies. Any patterns or practices that raise questions about potential violations may result in an account investigation.

6. Client Obligations

If notified of potential violations, clients must:

Review the relevant trading policies.



Provide a detailed response or clarification regarding the identified activity within 5 business days.

7. Consequences of Policy Violation

If a client's response is deemed unsatisfactory or no response is provided within the specified timeframe, Spectra Global Ltd reserves the right to take one or more of the following actions:

- Temporary or permanent suspension of the account.
- Revocation of trading privileges.
- Forfeiture of any gains resulting from prohibited trading practices, as per the platform's discretion.

8. Right to Amend

Spectra Global Ltd reserves the right to amend or update these terms and conditions at its discretion. It is the responsibility of clients to remain informed of the current policies.

These practices not only violate the principles of fair trading but also compromise the experience and security of other traders.

Acknowledgement of Terms

By using Spectra Global Ltd.'s trading platform, clients acknowledge their understanding of and agreement to refrain from engaging in the aforementioned practices. Any account suspected of involvement in these activities will be subject to a thorough investigation. If unethical trading is confirmed, appropriate actions will be taken in accordance with our enforcement policies, which may include the forfeit of gains resulting from such practices.

Spectra Global Ltd reserves the right to modify and update these definitions as needed to address emerging market conditions and ensure compliance with evolving ethical standards.